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U.S. PTOPlease type a plus sign (+) inside this box → 

PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

06-22-01

## NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket Number	20911-06141
First Named Inventor	Jiunji Uchida
Title	Electronic Document Classification System
Express Mail Label No.	EL 566299500 US

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>	Total Pages <input type="text" value="36"/>	9. <input checked="" type="checkbox"/> Power of Attorney or Authorization of Agent	
■ Descriptive Title of the Invention		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement	
■ Cross Reference(s) to Related Case(s)		11. <input type="checkbox"/> Preliminary Amendment	
■ Statement Regarding Fed sponsored R & D		12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)	
■ Background of the Invention		13. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent	
■ Brief Summary of the Invention		14. <input checked="" type="checkbox"/> Return Postcard	
■ Brief Description of the Drawing(s)		15. <input type="checkbox"/>	
■ Detailed Description		16. <input type="checkbox"/>	
■ Claim or Claims		17. <input type="checkbox"/>	
■ Abstract of the Disclosure			
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets <input type="text" value="25"/>		
5. Oath or Declaration			
a. <input checked="" type="checkbox"/> New Declaration	Total Pages <input type="text" value="1"/>		
<input type="checkbox"/> Executed (original or copy)			
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)			
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
<b>ADDRESS TO:</b>			
Box Patent Application Commissioner for Patents Washington, D.C. 20231			

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS** Customer Number and Bar Code Label

00758

Name (Print/Type)	ALBERT C. SMITH	Registration No. (Attorney/Agent)	20,355
Signature	A. C. Smith	Date	June 19, 2001

06/20/01

PTO/SB/17 (10-00)(modified)

Approved for use through 09/29/01, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)  
10/2000

U.S. Department of Commerce  
Patent and Trademark Office

**FEE TRANSMITTAL**

**TOTAL AMOUNT OF PAYMENT**

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$)710.00**

Complete if Known	
Application Number	Unassigned
Filing Date	June 19, 2001
First Named Inventor	Jiyunji Uchida
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	29011-06141

**METHOD OF PAYMENT**

## **FEE CALCULATION (continued)**

**1. The Commissioner is hereby authorized to:**

- Charge the indicated fees to the below mentioned deposit account.
  - Charge any additional fee required under 37 CFR 1.16 – 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup>
  - Applicant claims small entity status  
See 37 CFR 1.37.

**Deposit Account Number: 19-2555**  
**Deposit Account Name: FENWICK & WEST LLP**

A Duplicate Copy of this authorization is attached

- Payment Enclosed:**

**FEE CALCULATION** (fees effective 10/01/2000)

**1. FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	Fee Description	Fee Due
Fee Code/Fee	Fee Code/Fee		
101/\$710	201/\$355	Utility Filing	<b>710</b>
106/\$320	206/\$160	Design Filing	
208/\$710	208/\$355	Reissue	
414/\$150	214/\$75	Provisional Filing	
<b>SUBTOTAL (1)</b>		<b>( \$ ) 710</b>	

## 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$80	202/\$40	Independent claims in excess of 3
104/\$270	204/\$135	Multiple dependent claim

### **3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>		
Fee Code/Fee	Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="text"/>
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>
147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="text"/>
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	<input type="text"/>
116/\$390	216/\$195	Extension for response within second month <sup>†</sup>	<input type="text"/>
117/\$890	217/\$445	Extension for response within third month <sup>†</sup>	<input type="text"/>
118/\$1,390	218/\$695	Extension for response within fourth month <sup>†</sup>	<input type="text"/>
128/\$1,890	228/\$945	Extension for response within fifth month <sup>†</sup>	<input type="text"/>
119/\$310	219/\$155	Notice of Appeal	<input type="text"/>
141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application	<input type="text"/>
142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)	<input type="text"/>
143/\$440	243/\$220	Design Issue Fee	<input type="text"/>
122/\$130	122/\$130	Petitions to the Commissioner	<input type="text"/>
126/\$180	126/\$180	Submission of Information Disclosure Statement	<input type="text"/>
179/\$710	279/\$355	Request for Continued Examination (RCE)	<input type="text"/>
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
Other fee (specify):			<input type="text"/>
Other fee (specify):			<input type="text"/>

(Col. 1)	(Col. 2)	(Col. 3)		
For No. of Existing Claims	Highest No. Previously Paid For	Extra**	Fee	Fee Due
TOTAL      18	minus* 20 or 0	= 0	x	= 0
INDEP     2	minus* 3 or 0	= 0	x	= 0

[ ] First presentation of multiple dependent claim

\* Subtract the greater number of Col. 2

**\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3.**

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name		Reg. Number	20.355
Signature	<i>A. C. Smith</i>	Date	June 19, 2001

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby.